



Leibniz-Institut für
Astrophysik Potsdam

Detection of sunspots on digitized photographic plates

When machine-learning becomes really useful

Fournier Yori / Zooming into the universe (AG 2021) / 13 – 17 Sept. 2021

Proof of Concept

Overview

1) Observation context

In which context were these photographic plates taken?

2) Scientific context

What can we learn from these photographic plates?

3) First detection attempt

The straight-forward method – *failed*

4) Second detection attempt

Human brain-designed filter – *failed*

5) Third detection attempt

Machine-designed filter – *encouraging results*

6) Presentation of the preliminary results and discussion

7) About the reproducibility of this work

The problem and its possible solutions

Observation context

In which context were these photographic plates taken

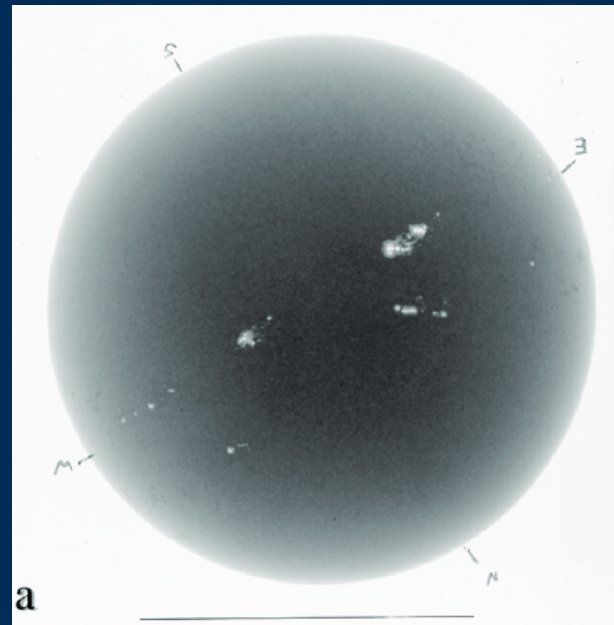
Between 1943 and 1991 about **3700 observations of the sun** were carried out at the **Einstein Turm** in Potsdam.

(more on Einstein Turm, see: *Denker et. al. (2016) AN*)

- These observations show the entire solar disk and exhibit solar features such as: sunspots and filaments.
- The observations were developed on **photographic-plates**. The latter are made of glass, they were a **long lasting medium**, allowing **high resolution**.



Credit: AIP



Pal, Partha S. et. al. 2020 (Astron. Nachr. 2020; 341: 575– 587.)

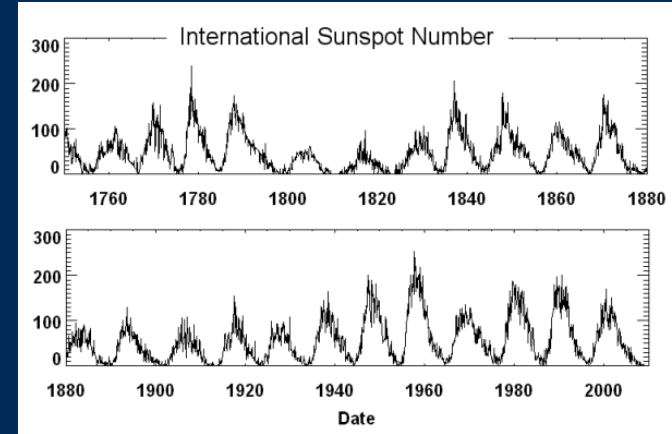
Scientific context (I)

What can we learn from these photographic plates?

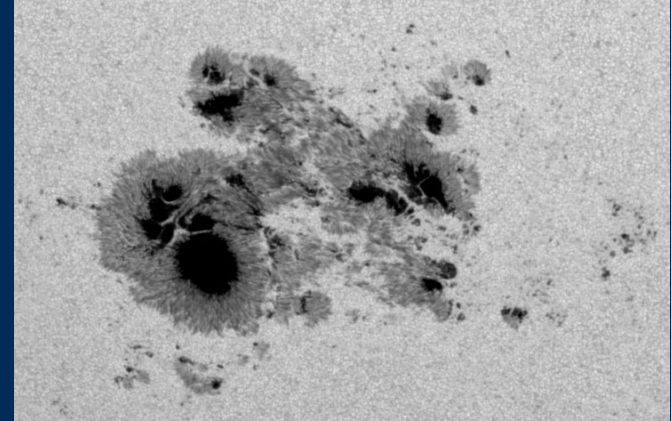
The solar magnetic field is **very dynamic** and exhibits short term (minutes) but also long term (tens of years) variations.

In order to **understand the dynamic of the solar** magnetic field we need to **gather** as many information as possible.

One prominent example is the **number of magnetic sunspots**.



Credit: spaceweather.com



Courtesy of NASA/SDO and the AIA, EVE, and HMI science teams.

Scientific context (II)

What can we learn from these photographic plates?

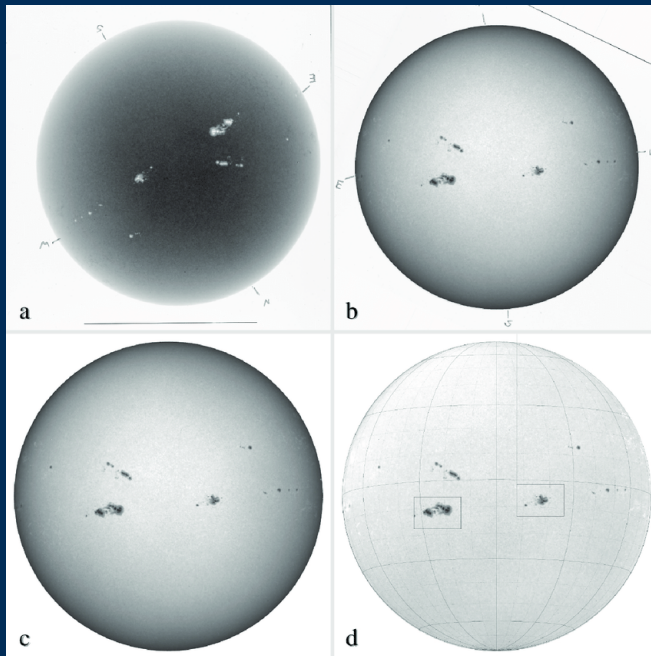
All **~3700 plates** were digitized with a high-resolution scanner.

They were preprocessed to bring homogeneity into the dataset: orientation, normalization, and limb-correction.

(see: Pal, Partha S. et. al. 2020 AN)

This photographic plates catalog was published the 6th Oct. 2020 as part of the **APPLAUSE project**.

(<https://www.plate-archive.org/applause/documentation/data-release-dr3s/>)



Pal, Partha S. et. al. 2020 (Astron. Nachr. 2020; 341: 575– 587.)

Some scientifically relevant information from these plates:

- **number of sunspots**
- **morphological properties**
- **coordinates** on the surface of the Sun (heliospheric coordinates).

These informations will be gathered to construct a derived catalog and will be published as part of DR4 of APPLAUSE.

First selection attempt

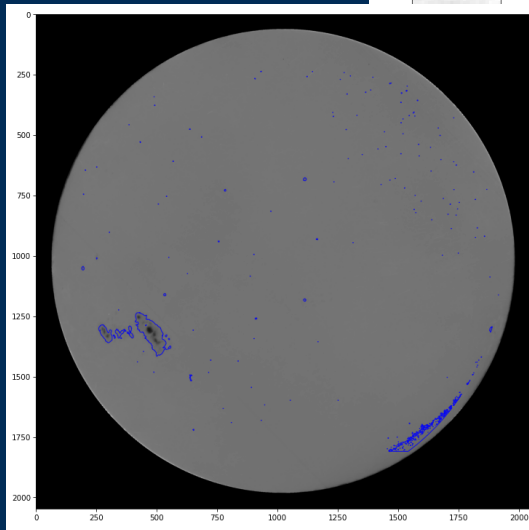
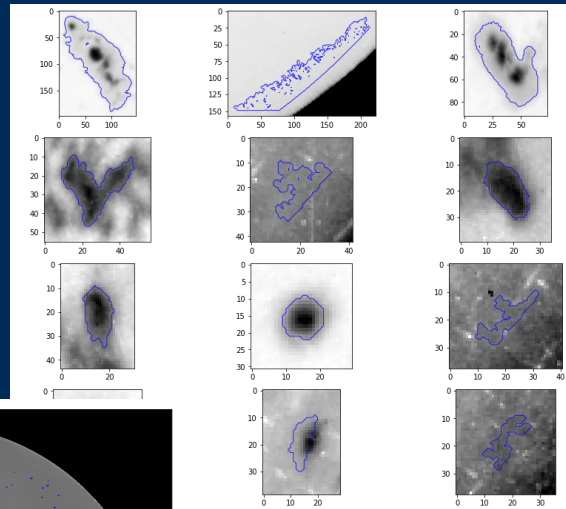
The straightforward method - *failed*

Modern image data:

- Sunspots are well defined: regions where the **intensity is below 94% of the mean intensity** of the solar disk.
- On modern image data sunspots can be easily **selected via threshold filtering** on intensity.

Photographic plates:

- Naturally the photographic plates are **not as clean as modern space observations**.
- On the preprocessed photographic plates, the straightforward threshold method fails: **~12,000 undetermined features**.



First selection attempt

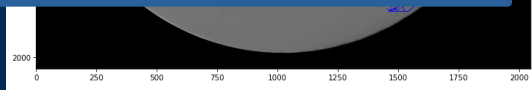
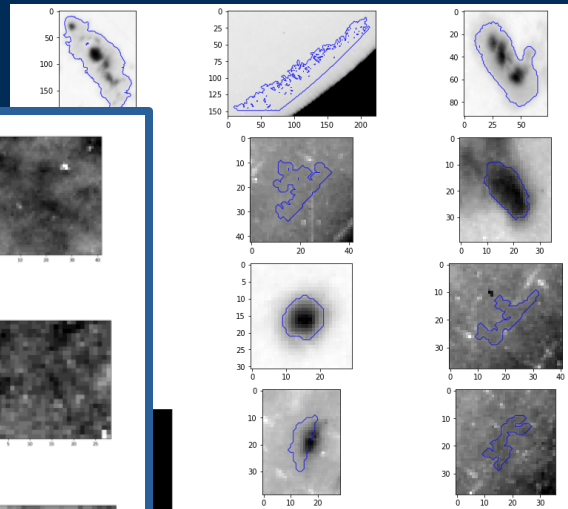
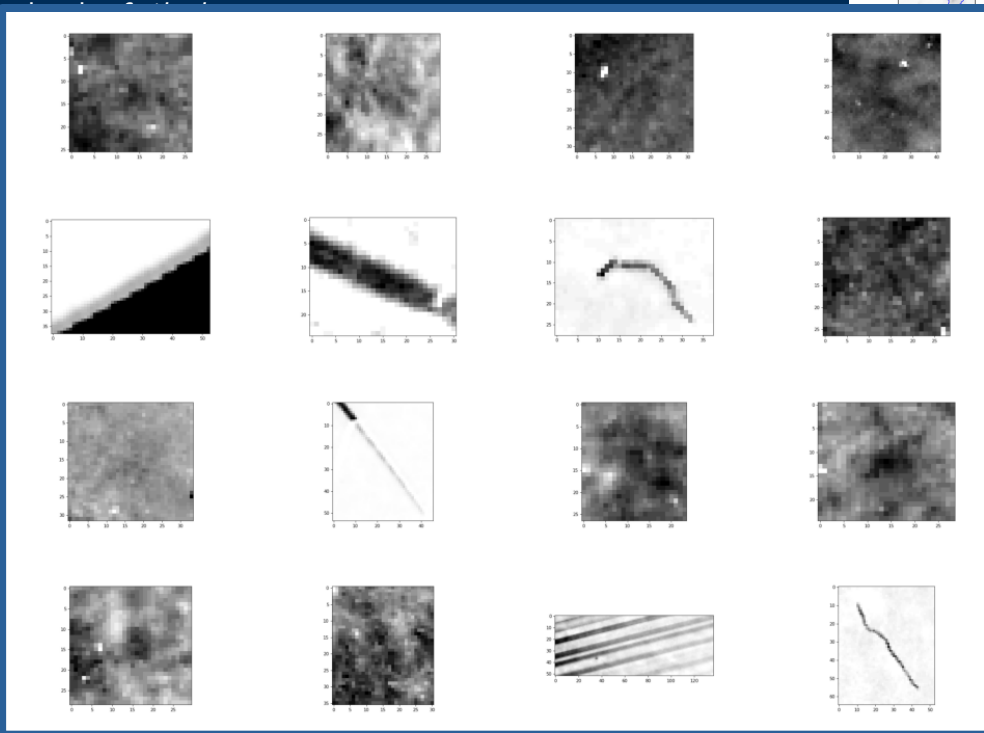
The straightforward method

Modern image data:

- Sunspots are well defined: relative to the background, they are darker than the surrounding area.
- On modern image data sunspot threshold filtering on intensity

Photographic plates:

- Naturally the photographic plates have a different contrast than modern image data.
- On the preprocessed photographic plates the threshold method fails: ~12,000 false detections



First selection attempt

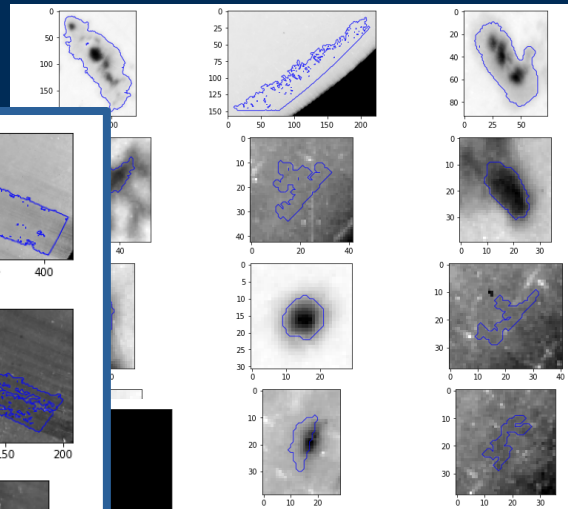
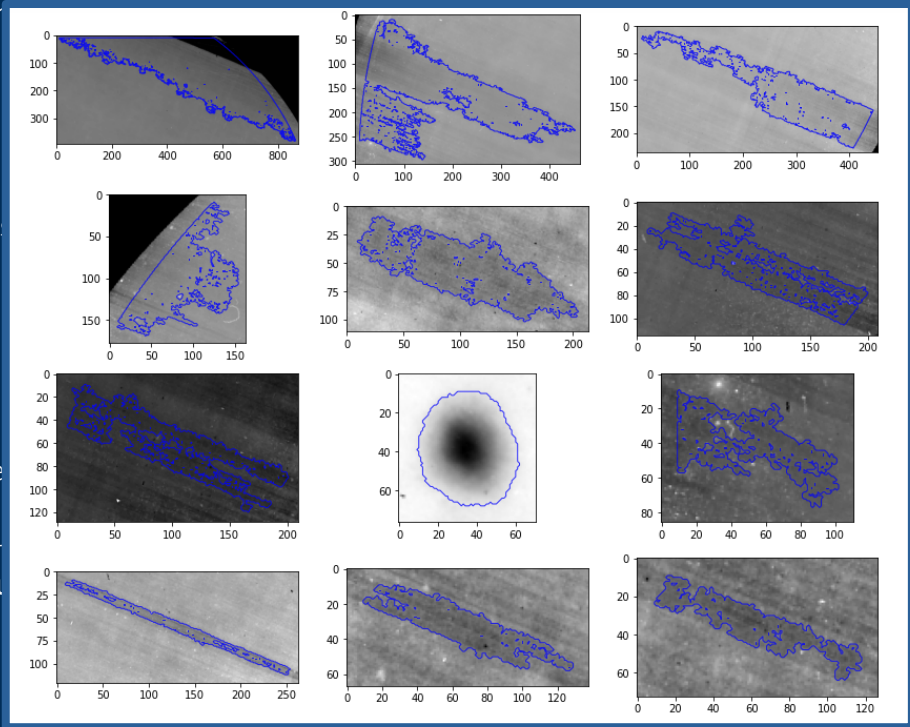
The straightforward method

Modern image data:

- Sunspots are well defined: region above 94% of the mean intensity of the background
- On modern image data sunspots threshold filtering on intensity.

Photographic plates:

- Naturally the photographic plate has a large dynamic range and is subject to space observations.
- On the preprocessed photographic plate the threshold method fails: ~12,000 false detections



Second selection attempt

The human brain-designed filter - *failed*

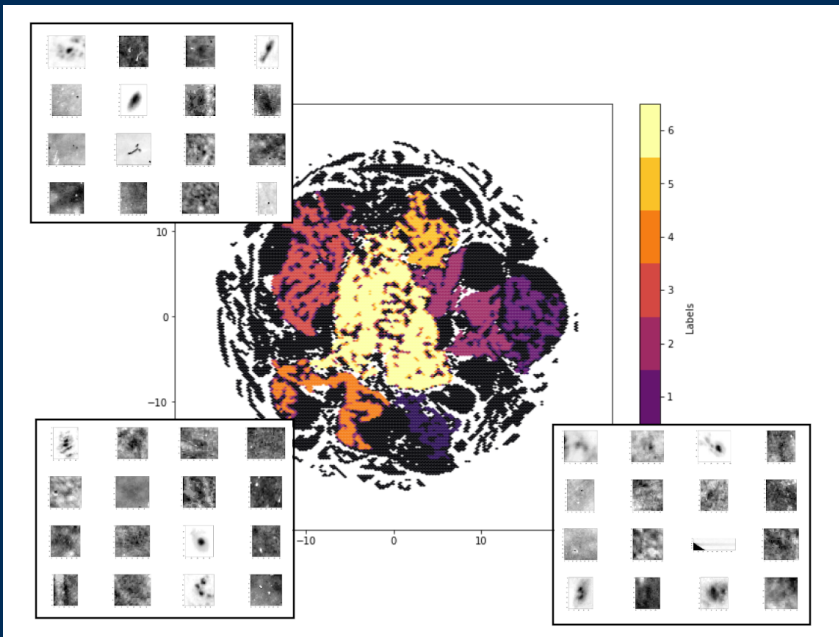
Improve filtering:

False positives show **morphological properties** that are **not** sunspot-like.

We **compute the morphological properties** of all features and try to design a filter to separate them.

Unfortunately the high dimensionality of the problem makes it difficult to design **a robust non-bias filter**.

t-SNE: *t*-distributed Stochastic Neighbor Embedding



Third selection attempt (I)

The machine-designed filter – *encouraging results*

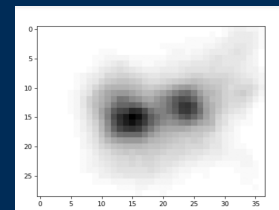
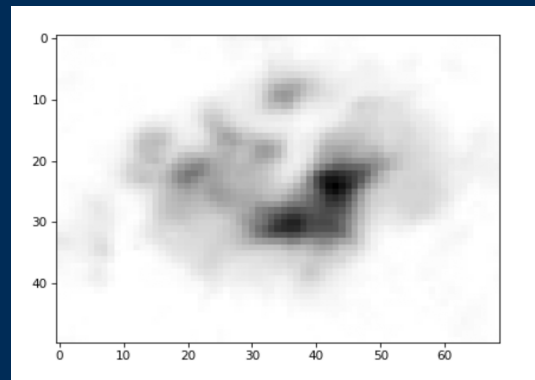
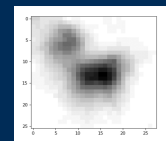
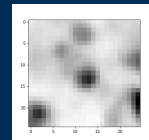
Build a filter with neuronal network:

Convolutional Neural Networks (CNN) are the most frequently used network-type for image processing.

CNN is particularly adapted since it allows to **identify the relevant morphological properties** without bias.

The **problem** is that CNN are particularly **adapted for small images**:
between 64^2 – 512^2 pixels.

In our case, due to the **wide dynamical range** of sunspots sizes, the postprocessed photographic plates are **2048^2 pixels**.



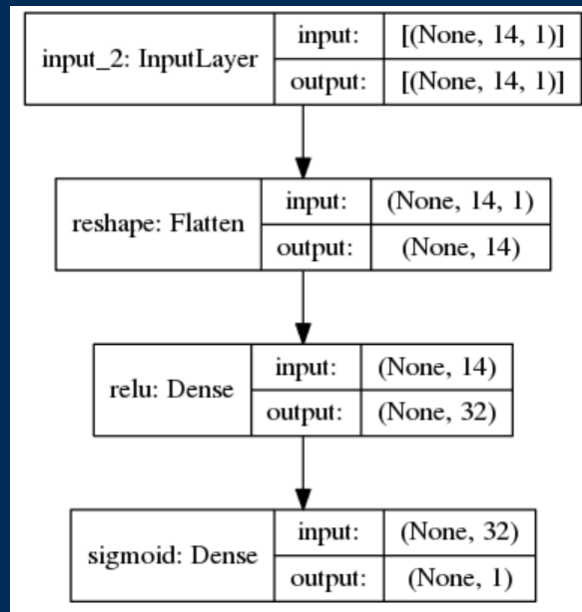
Third selection attempt (II)

The machine-designed filter – *encouraging results*

CNN is an overkill since we already have the morphological properties of all detected features.

A simple *neural network* should be able to deliver an adapted filter.

- We have **14** relevant **morphological properties**. These are the **input of our *neural network*!!** (not the scanned plates)
- Some of these **properties must mix** to catch the high dimensionality of our problem. We arbitrarily start with **two hidden layers**.



Third selection attempt (III)

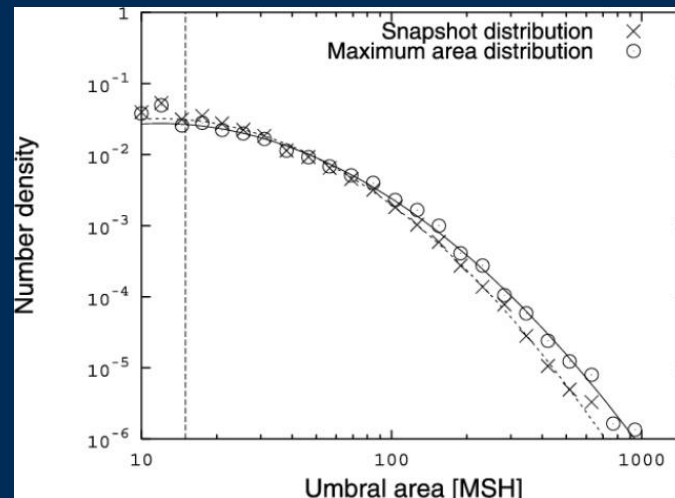
The machine-designed filter – *encouraging results*

Designing the training set:

How did we **design the training** set?

Which **properties** should have the training set?

- About **50/50** sunspots and non-sunspots
- About 10% of the features should be covered
- Most of the **non-sunspot** types should be **represented**
- Most of the **sunspot** types should be **represented**
- The **population rules** should be **respected**,
i.e.: distribution of sunspots over their size



Baumann & Solanki, 2005 (A&A)

Third selection attempt (IV)

The machine-designed filter – *encouraging results*

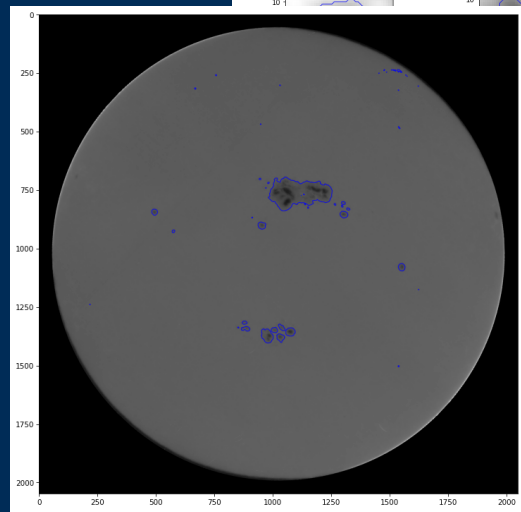
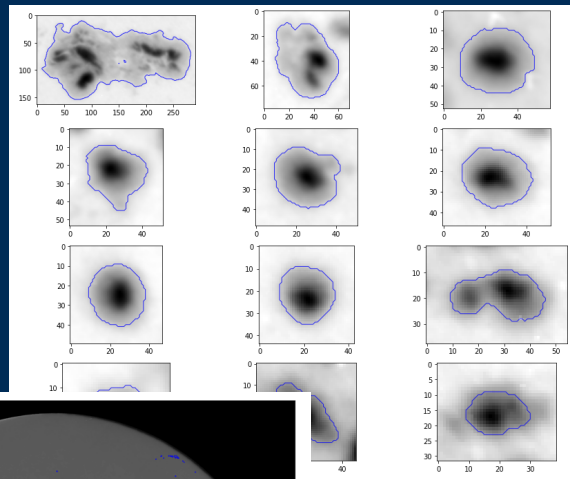
Designing the training set: the sunspots

In the photographic-plates catalog, there is a **wide range of plate quality**. We could categorise the plates in „good“ and „bad“ quality plates.

There are about **10% of „good“ plates**, which is sufficient, and they are distributed all over the observation period between 1943 and 1991.

The „good“ plates are such that the modern selection technique (threshold) works up to a high percentage, leading to **very few „false positives“**.

This selection by „good quality“ plates naturally respects the population rules.



Third selection attempt (V)

The machine-designed filter – *encouraging results*

Designing the training set: the non-sunspots

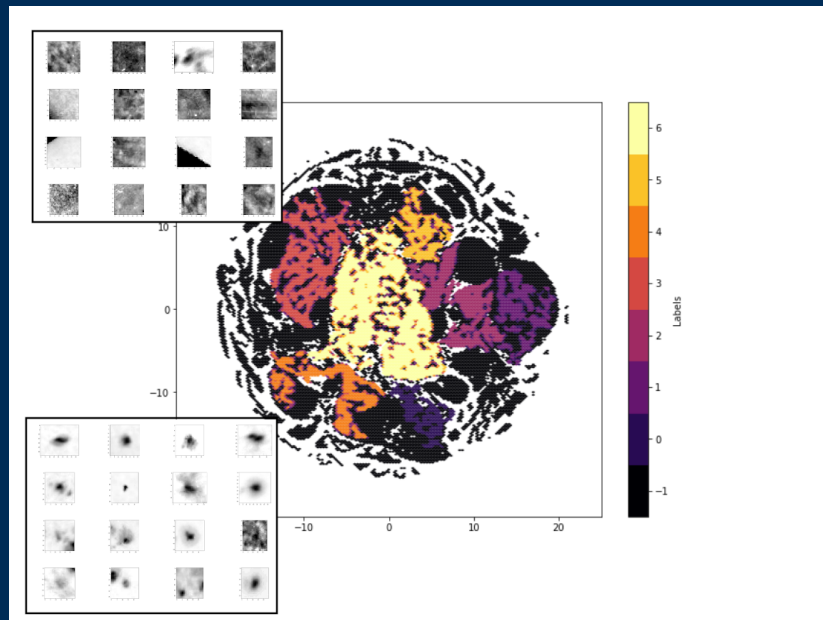
The **challenge** here is to **select a good representation** of all the non-sunspot types.

First we can **remove** all features which are **surely sunspots** (thanks to the morphological filter)

We have a soup of unknown features.

We **analyse various subsets** of the families obtained from the **tSNE maps** and try to extract homogeneous sets.

This **method is arbitrary** and difficult to automatize. No clear parameters nor possibilities to test **the statistical relevance of the selection...** (still unsatisfactory)

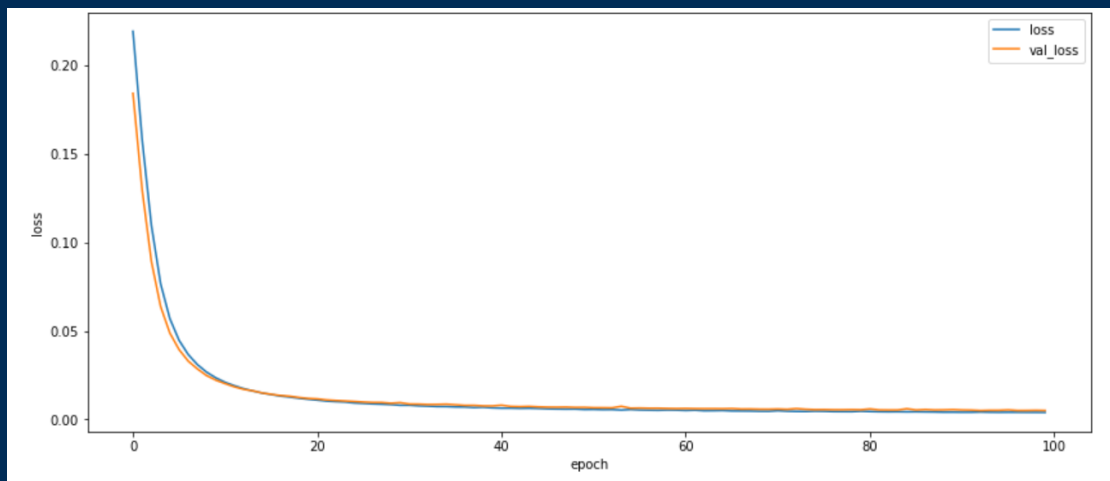


Presentation of the results (I)

The training.

The **training** is **fast and robust** since we are exploring a **tiny parameter space** (513 independent parameters) and using a simple neural network and no convolution layer.

To **prevent overfitting** the training data we stop the training at the beginning of convergence: **epoch 40**.

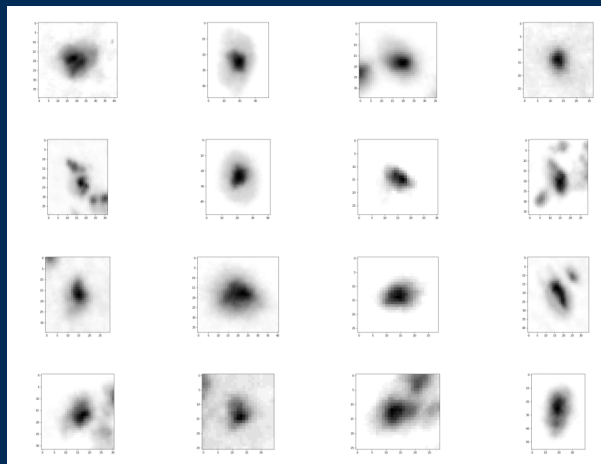
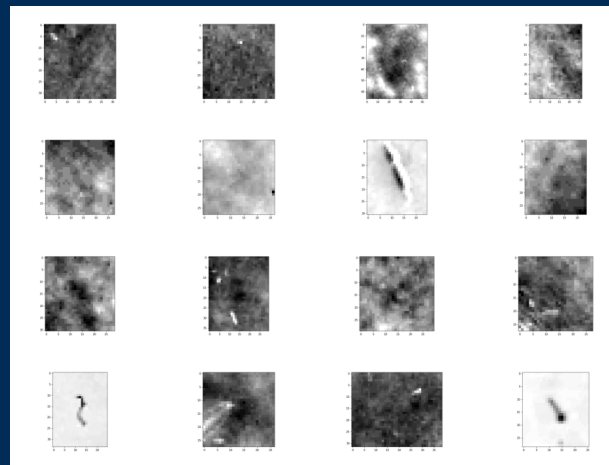
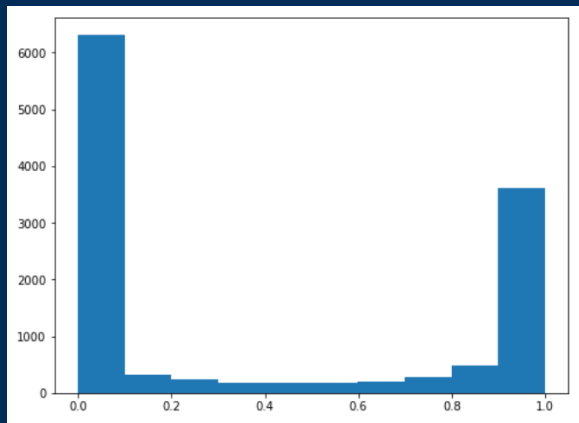


Presentation of the results (II)

Application to the entire set.

Applying the machine-designed filter we obtain quite **encouraging results**:

- Few „False positives“ (less than 1%)
- More „False negatives“ (about 10%)
- Little amount of uncertainty



Presentation of the results (III)

Discussion

These are **preliminary results**.

The next step is **validation** of the catalog:

- **Compute the sunspots number** and compare to the known one (not trivial due to the grouping).
- Verify the **statistical distribution** of spots over their size.
- Verify the **statistical position** of spots along the years (butterfly diagram).
- Study the **intrinsic bias** of the method.

How to improve?

Improve the training-set selection.

Make the **selection of non-sunspots more systematic** and identify the parameters.

Is our trivial network adapted?

We need to carry out a **deeper study** of our neuronal network:

- Study each layer and weight of the parameter
- Study the network: number of layers, activation functions.

Intrinsic problem

We somehow reduce the parameter space by **providing the morphological properties** of the spots. Better would be that the **network extracts these properties** from the training set.

The **size of our images is too large** for a straight forward CNN network. However solutions exist: **slicing the images** or **randomly dropping data**.

About the reproducibility of this work

The problem and its possible solutions

The reproducibility issue:

- Make this work reproducible consumes time. Time that scientists generally don't have.
- Today it is reproducible, but what about tomorrow?

What we have already:

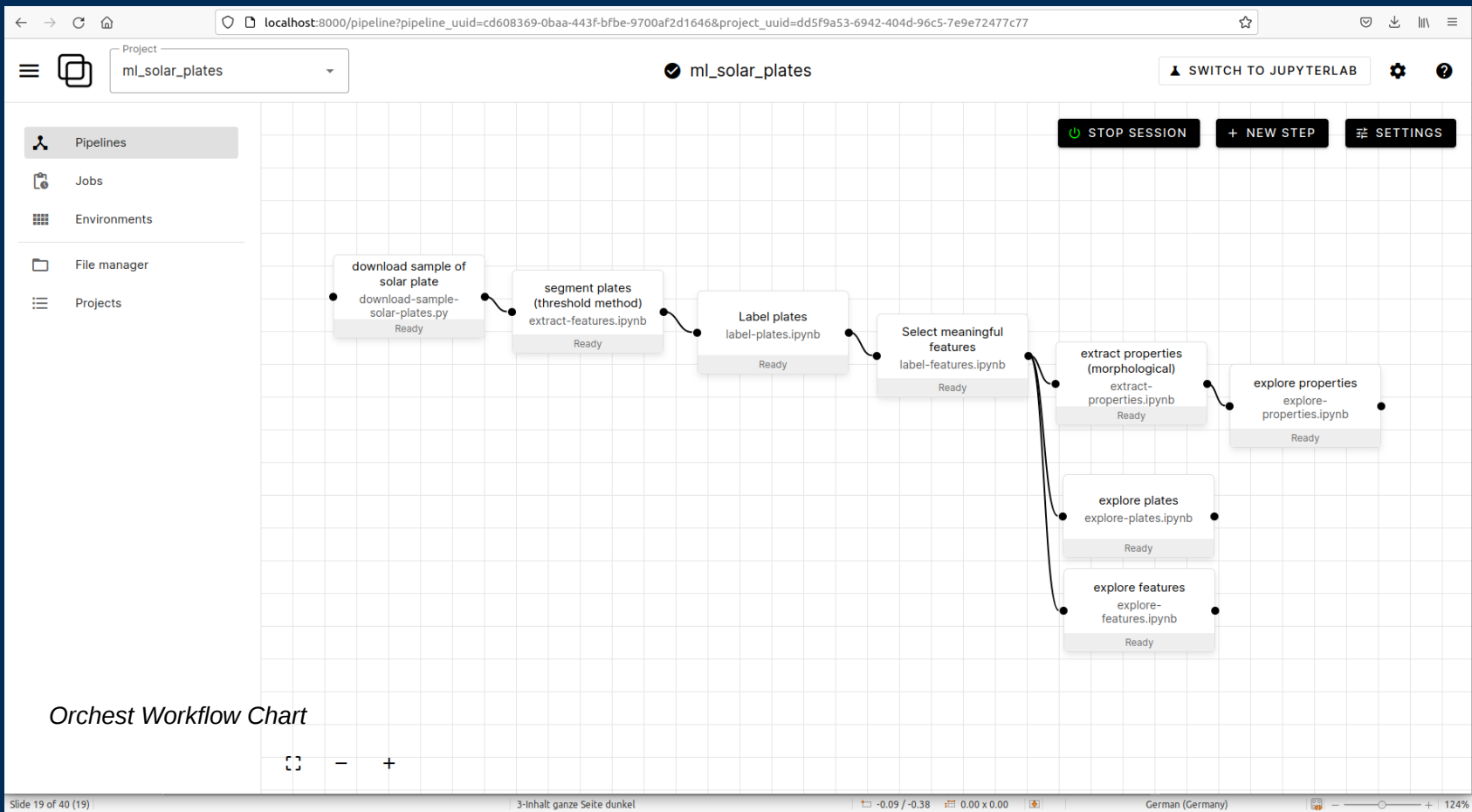
- Virtual environment: virtual machine, containers...
- Long lasting data formats: hdf5, fits, csv...
- Versioning system: for data and software
- Global identifiers: data identifier, software identifier.

What is missing:

- Long lasting parallelization methods, hardware architecture and infrastructure
- Robust backend software to combine all available elements mentioned above
- Plug and play graphic interface adapted for scientific work.

Future Tools: (avant-garde)

- UltraPink
- Orchest
- Ploomber



pipeline.yaml

```
1 tasks:
2   - source: scripts/get.py
3     product:
4       nb: products/get.ipynb
5       data: products/get.csv
6
7   - source: scripts/petal-area.py
8     product:
9       nb: products/petal-area.ipynb
10      data: products/petal-area.csv
11
12  - source: scripts/sepal-area.py
13    product:
14      nb: products/sepal-area.ipynb
```

List tasks in a YAML file

fit.py

```
[ ]: upstream = ['get', 'petal-area', 'sepal-area']

[ ]: upstream = {
  "petal-area": {
    "nb": "products/petal-area.ipynb",
    "data": "products/petal-area.csv",
  },
  "sepal-area": {
    "nb": "products/sepal-area.ipynb",
    "data": "products/sepal-area.csv",
  },
  "get": {
    "nb": "products/get.ipynb",
    "data": "products/get.csv",
  },
}
```

Declare task dependencies

Dependencies paths auto-completion

pipeline.png

```
graph LR
    get --> petal-area
    get --> sepal-area
    sepal-area --> fit
```

Visualize dependencies

Edu@x86_64-apple-darwin13 Desktop/demo (main !*) » ploomber build

Orchestrate execution from the command line

20 Yori Fournier / AG2020 / 17.09.2021

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